

## 2023 Retirement Plan Proposal Questionnaire

This information will enable us to provide a meaningful initial illustration of options.



Legal Name of Entity: \_\_\_\_\_

Fiscal Year End: \_\_\_\_\_

Type of Entity:  C Corp.  S Corp.  Sole Prop.  LLC taxed as a Partnership  LLC taxed as a Corporation  Single Member LLC

### CENSUS DATA:

Emp. #	Name (owners and employees)	Birth Date	Hire Date	Ownership Percentage if any	Termination Date (if applicable)	2022 Final Compensation	Projected Annual Compensation for 2023	Estimated Employee 401K Deferral <sup>1</sup> (only if applicable)	Part time? (less than 1,000 hours) Yes or No	Indicate Relationship to Owner, if any
1										
2										
3										
4										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15										

<sup>1</sup> This is the employee's portion, NOT the employer match. Indicate either the \$ or % for this year.

**GENERAL QUESTIONS:**

Is there an existing plan in this entity:     Yes         No

If yes, what kind of plan is it and please **attach a current Summary Plan Description and last year's valuation for the Plan.**

SEP

SIMPLE Plan

401(k) Plan

With a Safe Harbor?

3% Non-Elective

Safe Harbor Match

Employer Match?

Yes- Please detail: \_\_\_\_\_

No

Are you using Profit Sharing in your plan currently?

What % has the employer historically been giving in Profit Sharing? \_\_\_\_\_%

Defined Benefit or Cash Balance Plan

**Are the owner(s) currently contributing the maximum allowable to the plan?**

Yes

No

**Do the owner(s) desire to contribute the following amounts into their plan?**

\$66,000 (\$73,500 with catch-up)

An amount above \$66,000 (\$73,500 with catch-up)

Do any Shareholders of the Corporation have an ownership interest in any other business (either incorporated or unincorporated)?

Yes -Please detail

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No