

2020 Retirement Plan Proposal Questionnaire

This information will enable us to provide a meaningful initial illustration of options.

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Legal Name of Entity: _____

Fiscal Year End: _____

Type of Entity: C Corp. S Corp. Sole Prop. LLC taxed as a Partnership LLC taxed as a Corporation Single Member LLC

CENSUS DATA:

Emp. #	Name (owners and employees)	Birth Date	Hire Date	Ownership Percentage if any	Termination Date (if applicable)	2019 Final Compensation	Projected Annual Compensation for 2020	Estimated Employee 401K Deferral ¹ (only if applicable)	Part time? (less than 1,000 hours) Yes or No	Indicate Relationship to Owner, if any
1										
2										
3										
4										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15										

¹ This is the employee's portion, NOT the employer match. Indicate either the \$ or % for this year.

GENERAL QUESTIONS:

Is there an existing plan in this entity: Yes No

If yes, what kind of plan is it and please **attach a current Summary Plan Description and last year's valuation for the Plan.**

SEP

SIMPLE Plan

401(k) Plan

With a Safe Harbor?

3% Non-Elective

Safe Harbor Match

Employer Match?

Yes- Please detail: _____

No

Are you using Profit Sharing in your plan currently?

What % has the employer historically been giving in Profit Sharing? _____%

Defined Benefit or Cash Balance Plan

Are the owner(s) currently contributing the maximum allowable to the plan?

Yes

No

Do the owner(s) desire to contribute the following amounts into their plan?

\$57,000 (\$63,500 with catch-up)

An amount above \$57,000 (\$63,500 with catch-up)

Do any Shareholders of the Corporation have an ownership interest in any other business (either incorporated or unincorporated)?

Yes -Please detail

No